PTO/SB/21 (02-04)

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	Application Number	10/625,393 July 23, 2003	
TRANSMITTAL	Filing Date		
FORM	First Named Inventor	Yamashita, Yozo	
for all correspondence after initial filing)	Art Unit	3681	
	Examiner Name	Wright, Dirk	
Pages in This Submission	Attorney Docket Number	01107 000000	

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		Е	NCI	OSURES (check all that apply)		
	Fee Transmittal			Drawing(s)		After Allowance communication to Group
		•		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences
	Amendment/Reply	!		Petition		Appeal Communications to Group (Appeal Notice, Brief, Reply Brief)
	☐ After Final	1		Petition to Convert to a Provisional Application		Proprietary Information
	☐ Affidavit/declar	ration(s)		Power of Attorney, Revocation Change of Correspondence Address		Status Letter
	Extension of Time F	Request		Terminal Disclaimer	⊠	Other Enclosure(s) (please identify below):
	☐ Express Abandonment Request			Request for Refund		Check No. <u>/07//7</u> to the Director of the US Patent and Trademark Office in the amount of \$180.00;
×	Information Disclos	ure Statement		CD, Number of CD(s)		Postcard Receipt
	Certified Copy of Pr	Priority Document(s)	Rer	marks		
	Response to Missin Incomplete Application					
	Response to N 37 CFR 1.52 c	Missing Parts under or 1.53				
75		SIGNATUI	RE C	OF APPLICANT, ATTORNEY, OF	₹ AG	ENT
Firm or Individual name Thomas B. Rya HARTER, SECR				& EMERY LLP		
Sigr	nature	Jay	_	BM		
Date August 18, 200			1			

CERTIFICATE OF TRANSMISSION/MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.						
Type or printed name Cathy A. Dumbleton						
Signature Ollege . Signature	Date	August 18, 2004				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



**PATENTS** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Yamashita, Yozo

Atty. Docket:

91197.000009

Serial No.:

10/625,393

Examiner:

Wright, Dirk

Filed:

July 23, 2003

Art Unit:

3681

Title:

PLANETARY GEAR APPARATUS

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(d)

Mail Stop Issue Fee Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Here are copies of form PTO/SB/08a,b, the Japanese application publication and the German technical publication listed on the form, a European application publication, and a translation of a German Office action for a counterpart foreign application.

The Japanese application publication and the German technical publication are cited in the German Office action. The European application publication is a counterpart of the Japanese application and is submitted as a translation. The translated German Office action is submitted as an explanation of the relevance of the German technical publication as seen by the German Patent Office. Applicants have not independently considered the relevance of the reference and make no admissions with respect thereto.

This statement is being filed before the payment of the issue fee and is accompanied by (a) the fee set forth in § 1.17(p) by check in the amount of \$180.00 (The Office is authorized to change any deficiency to deposit account number (03-3875) and (b) a statement under § 1.97(e) as follows:

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Applicants affirm that each item of information contained in this Supplemental Information Disclosure Statement was cited in a communication from the German Patent and Trademark Office in a counterpart foreign application not more than three months prior to the filing of this Statement.

For any questions regarding this Statement of the application, the Examiner is invited to contact applicants' representative by telephone as indicated below.

Respectfully submitted,

Thomas B. Ryan, Registration No. 31,659

HARTER, SECREST & EMERY LLP

1600 Bausch & Lomb Place Rochester, New York 14604 Telephone: 585-231-1101

Fax: 585-232-2152

PTO/SB/08a (08-03)

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Under the Paperwork Reduction A

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

2 of Sheet 1

Complete if Known					
Application Number	10/625,393				
Filing Date	July 23, 2003				
First Named Inventor	Yamashita, Yozo				
Art Unit	3681				
Examiner Name	Wright, Dirk				
Attorney Docket Number	91197.000009				

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Document Number  Number-Kind Code² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-				
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No. <sup>1</sup>	Country	Foreign Patent Docume Code <sup>3</sup> Number <sup>4</sup> Kind Code		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
	_	JP	P2002-89659	Α	03-27-2002	Bosch Automotive Systems		Х
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Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds of Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³. Enter Office that issued the document, by the two-letter code (WIPO Standard ST .3). ⁴. For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST . 16 if possible. ⁵. Applicant is to place a check mark here if English language Translation is attached.

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Complete if Known Substitute for form 1449B/PTO 10/625,393 **Application Number** July 23, 2003 INFORMATION DISCLOSURE Filing Date Yamashita, Yozo STATEMENT BY APPLICANT First Named Inventor Art Unit 3681 (use as many sheets as necessary) **Examiner Name** Wright, Dirk 2 91197.000009 Sheet 2 of Attorney Docket Number

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	nitials* No. 1 No. 1 (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.						
		Niemann, G.; Winter, H.: Maschinenelemente [machine elements], Vol. II, 2 <sup>nd</sup> edition, Berline finter alial: Springer 1983 pages 363-365					
	,						

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1. Applicant's unique citation designation number (optional). 2. Applicant is to place a check mark here if English language Translation is attached.

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